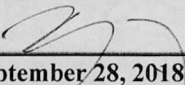


091-256838

For Internal Use Only		Submit 1 Original	OMB Approval No.:
Sec File No. 91		and 9 Copies	Expires:
Estimated average burden hours per response: 2.00			
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e)			
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934			
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM			
Part I		Initial Listing Report	
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:			
New York Stock Exchange, LLC (trading pursuant to unlisted trading privileges)			
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):			
Corporation			
3. Class of New Derivative Securities Product:			
Index Linked Securities			
4. Name of Underlying Instrument:			
Solactive Preferred Stock ETF Index			
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:			
Broad-Based			
6. Ticker Symbol(s) of New Derivative Securities Product:			
PFFL			
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:			
NYSE Arca, NASDAQ			
8. Settlement Methodology of New Derivative Securities Product:			
Regular way trades settle on T+2/Book entry only held in DTC.			
9. Position Limits of New Derivative Securities Product (if applicable):			
Not applicable.			
Part II		Execution	
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.			
Name of Official Responsible for Form:			
Clare F. Saperstein			
Title:			
Associate General Counsel			
Telephone Number:			
(212) 656-2355			
Manual Signature of Official Responsible for Form:			
			
September 28, 2018			



18010817

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 01 2018

SEC 2449 (1/99)